IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket: ERNST 1A

In re Application of:
Charles ERNST

IA No: PCT/IL2005/000040

IA Filed: March 28, 2001

Appln. No.: Not yet assigned

For: LENS ACTUATOR ASSEMBLY
FOR OPTICAL HEAD

Conf. No.:

Art Unit:

December 2005

INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner for Patents U.S. Patent and Trademark Office Customer Service Window Randolph Building, Mail Stop Amendment 401 Dulany Street Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted in accordance with 37 CFR §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- [X] 1. This IDS should be considered, in accordance with 37 CFR §1.97, as it is filed:
- [X] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
- [] B. before the mailing date of a first office action on the merits or before the mailing of a first Office

In re Appln. No.

action after the filing of a Request for Continued Examination under 37 CFR §1.114; or

- [] C. after (A) and (B) above, but before final rejection or allowance, and Applicant has made the necessary certification (box "i" below) or paid the necessary fee (box "i" below):
 - [] i. Counsel certifies that, upon information and belief, each item of information listed herein either was
 - [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
 - [] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, not known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this IDS.
 - [] ii. Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in 37 CFR §1.17(p), presently believed to be \$180. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035 of the undersigned.
- [] D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant states as follows under 37 CFR §1.97(e) for consideration of this IDS, that, upon information and belief, each item of information listed herein either was
 - [] (a) first cited in a communication from a foreign patent office in a counterpart foreign

application not more than three months prior to the filing of this IDS; or

[] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, not known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this IDS.

Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in 37 CFR §1.17(p), presently believed to be \$180. If the enclosed payment is incorrect, please charge any additional fees or credit any overpayment to Deposit Account No. 02-4035 of the undersigned.

- [X] 2. In accordance with 37 CFR §1.98, this IDS includes a list (e.g., form BN/SB/08A/B) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. Other than U.S. patent(s) and/or published U.S. application(s), which 37 CFR §1.98(a)(2)(ii) does not require to be filed unless specifically required by the Office, a copy of each document listed is attached, except as explained below:
- [] A. Document(s) ______ is/are deemed substantially cumulative to document(s) ______, and, in accordance with 37 CFR §1.98(c), a copy of each of the former document(s) is not enclosed.
- [] B. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. 120:

(insert serial numbers and filing dates of prior applications)

Applicant identifies these documents by attaching hereto copies of the forms PTO-892, PTO-1449, PTO/SB/08a and/or PTO/SB/08b (or their BN form equivalents) from the files of the prior application(s) or a fresh BN/SB/08A and/or BN/SB/08B listing these documents, and request that they be considered and made

In re Appln. No.

§1.98(d), copies of these documents need not be filed application.	l in this
[] 3. Document(s) is/are not in the Engli language. In accordance with 37 CFR §1.98(a)(3), App states:	
[] An English translation of each document of the pertinent portions thereof), or an English-language abstract (or claim) enclosed.	a copy of
[] For documents, a corresponding English-language patent or published against included on the accompanying Form BN with a line drawn in the margin connect non-English-language document with its corresponding English-language document	oplication N/SB/08A, Ling the
[] A concise explanation of the relevance document(s) is found in the assert report (see Comment 68 in the preamble to the final 1135 OG 13 at 20).	attached ee reply to
[] A concise explanation of the relevance document(s) is set forth as	
[] A concise explanation of the relevance document(s) can be found on particular of the specification.	
[] A concise explanation of the relevance document(s) can be found on attached sheet.	
[X] 4. No explanation of relevance is necessary	for

of record in accordance with 37 CFR §1.98(d). Per 37 CFR

- A -

documents in the English language (see reply to Comments 67 and

68 in the preamble to the final rules; 1135 OG 13 at 20).

In re Appln. No.

[X] 5. Other information being provided for the examiner's consideration follows: International Search Report

الله المالية ا

6. In accordance with 37 CFR §§1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in 37 CFR §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK Attorneys for Applicant(s)

By:

Roger L. Browdy

Registration No. 25,618

RLB:cac

624 Ninth Street, N.W., Suite 300

Washington, D.C. 20001-5303

Telephone: (202)628-5197 Facsimile: (202)737-3528

AP20 Rec'd PCT/PTO 12 JUL 2006.

Substitute for form 1449A/PTO				Complete if Known			
				Application Number	Not yet assigned 773		
INFORMATION DISCLOSURE				Filing Date	July 12, 2006		
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Charles ERNST		
				Group Art Unit			
(use as many sheets as necessary)			ecessary)	Examiner Name			
Sheet	1	of	2	Attorney Docket Number	ERNST 1A		

			U.S. PAT	ENT DOCUMENTS	
Examiner	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
·	AA	US- 6,414,931 B1	July 2, 2002	Maeda FUMISADA et al	
	AB	US- 6,104,691 A	August 15, 2000	YAMAMOTO et al	
	AC	US- 5,691,971 A	November 25, 1997	KIM et al	
	AD	US- 2001/028515 A1	October 11, 2001	Kawano NORIYUKI	
	AE	US- 6,344,936 B1	February 5, 2002	Santo TAKEO et al	
		US-			
_		us-			
		us-		,	
		US-			

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Number Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	of Cited Deciment	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]	

Examiner	-	Date	
Signature		Considered	

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.